

Please type a plus sign (+) inside this box → ☒

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

IFW

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission  
(excluding references)

43

Application Number

10/733,150

Filing Date

December 10, 2003

First Named Inventor

Chia Soo

Group Art Unit

1616

Examiner Name

Unknown

Attorney Docket Number

62855.4

### ENCLOSURES (check all that apply)

- ☒ Deposit Account 07-1850 Authorization
- ☒ Postage Paid Return Postcard
- ☐ Amendment / Response
- ☐ Amendment Transmittal Letter (in duplicate)
- ☐ Affidavits/declaration(s)
- ☐ Petition for Extension of Time (\_\_\_ months) (in duplicate)
- ☒ Information Disclosure Statement (in duplicate) with Form PTO-1449 citing 5 References
- ☐ Express Mail Label No.
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
  - ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s) In/Formal \_\_\_ Sheets with Submission of Drawings Transmittal
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter

- ☒ Other Enclosure(s)  
(please identify below):

5 cited references

Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

Squire, Sanders & Dempsey L.L.P.  
Zhaoyang Li, Ph.D., Reg. No. 46,872

Signature

Date

August 13, 2004

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: August 13, 2004

Typed or printed name

Rebecca M. Klits

Signature

Rebecca M. Klits

Date

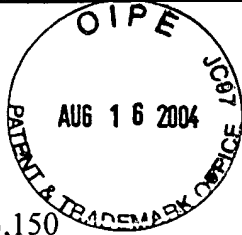
August 13, 2004

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Chia Soo



Examiner: Unassigned

Serial No. 10/733,150

Art Unit: 1616

Filed: December 10, 2003

Title: Methods And Compositions Using Compounds From Fetal Cells And tissues To Improve Condition Of Skin

---

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT  
PURSUANT TO 37 C.F.R. §§1.97-1.98**

Dear Examiner:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §§1.97-1.98, Applicants hereby notify the U.S. Patent and Trademark Office of the references listed on the attached Form PTO-1449. Copies of the cited non-patent documents have been submitted herewith. The references were cited in the International Search Report for International Application No. PCT/US03/39597. The Examiner is requested to make this information of official record in the application.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants reserve the right to dispute the listed documents as prior art during examination. Furthermore, Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.


The Examiner is requested to initial the enclosed Form PTO-1449 and return a copy thereof to the undersigned.

The present Information Disclosure Statement is being filed before receiving the first Office Action. Therefore, no certification under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required. However, the Commissioner is authorized to charge any deficiencies or other amounts due to Deposit Account No. 07-1850.

Date: August 13, 2004

SQUIRE, SANDERS & DEMPSEY L.L.P.  
One Maritime Plaza, Suite 300  
San Francisco, CA 94111  
Telephone (415) 954-0200  
Facsimile (415) 393-9887

Respectfully submitted,

  
\_\_\_\_\_  
Zhaoyang Li, Ph.D.  
Attorney for Applicant  
Reg. No. 46,872

<b>FORM PTO-1449 (Modified)</b> Approved for use through 10/31/2002		US DEPARTMENT OF COMMERCE US Patent and Trademark Office		Docket No. 62855.4	Application No. 10/733,150
<b>INFORMATION DISCLOSURE CITATION</b> <b>in an Application</b> (Use several sheets if necessary)				Applicant Chia Soo	
				Filing Date December 10, 2003	Group Art Unit 1616

PATENT & TRADEMARK OFFICE  
 AUG 16 2004

U.S. PATENT DOCUMENTS							
Examiner Initial	Ref. No.	Document Number	Date of Patent	Name	Class	Subclass	Filing Date if Appropriate
	A1						
	A2						
	A3						
	A4						

U.S. PATENT APPLICATION PUBLICATION DOCUMENTS							
Examiner Initial	Ref. No.	Document Number	Date of Publication	Name	Class	Subclass	Filing Date if Appropriate
	A5						
	A6						
	A7						

FOREIGN PATENT DOCUMENTS								
Examiner Initial	Ref. No.	Document Number	Date of Publication	Country	Class	Subclass	Translation	
	B1						Yes	No
	B2							
	B3							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)	
C1	Adzick, N.S. et al., <i>Cells, Matrix, Growth Factors, and the Surgeon: The Biology of Scarless Fetal Wound Repair</i> , Annals of Surgery, 1994, Vol. 22, No. 1, pages 10-18.
C2	Soo, C. et al., <i>Differential Expression of Fibromodulin, a Transforming Growth Factor-Beta Modulator, in Fetal Skin Development and Scarless Repair</i> , American J Pathology, August 2000, Vol. 157, No. 2, pages 423-433.
C3	Lee, T.Y. et al., <i>Endogenous Expression of Matrix Metalloproteinases 7 and 9 and their Tissue Inhibitors as a Function of Gestational Age in Fetal Rat Skin</i> , Surgical Forum, 1998, Vol. 49, pages 464-466.
C4	Lorenz, H.P. et al., <i>Differential Expression of Matrix Metalloproteinases and their Tissue-Derived Inhibitors in Scarless Fetal Wound Healing</i> , Surgical Forum 2001, Vol. 52, pages 397-401.
C5	Shafritz, T.A. et al., <i>Specific Effects of Glycosaminoglycans in an Analog of Extracellular Matrix that Delays Wound Contraction and Induces Regeneration</i> , Wound Repair and Regeneration, 1994, Vol. 2, pages 270-276.

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered.  
 Include copy of this form with next communication to applicant.